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FEB 01 2002

App # 09/957,983

FORM PTO-1449 (Rev. 4-94)		ATTY DOCKET NO: S63.2-6769	Application No: 09/497,278
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		APPLICANT: Brian J. Brown et al.	GROUP: 3738
(Use several sheets if necessary)		FILING DATE: November 20, 1998	FEb 5 2002

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS			CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
EXAM'S INT'L.	DOCUMENT NUMBER	DATE	NAME				
AB	5,591,197	1/7/97	Orth et al.		606	198	
AB	5,697,971	12/16/97	Fischell et al.		623	1	
AC	5,718,713	2/17/98	Franzen		606	198	
AD	5,735,893	4/7/98	Lau et al.		623	1	
AE	5,741,327	4/21/98	Franzenq		623	1	
AF	5,755,776	5/26/98	Al-Saadon		623	1	
AG	5,755,781	5/26/98	Jayaraman		623	1	
AH	5,776,161	7/7/98	Gleberman		606	194	
AI	5,776,183	7/7/98	Kanesaka et al.		623	1	
AJ	5,807,404	9/15/98	Richter		623	1	
AK	5,818,872	9/22/98	Kanesaka et al.		606	198	
AL	5,824,059	10/20/98	Wijay		623	1	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES
VB	BA	297 01 758	3/27/97	DE			
VB	BB	297 02 671 U1	4/10/97	DE			
VB	BC	297 08 689 U1	7/17/97	DE			
VB	BD	297 08 879 U1	7/31/97	DE			
VB	BE	0 821 920 A1	2/4/98	EP			

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

VB	CA	Beyar et al, "The BeStent; The Parallel-Serial Jang Stents", <i>Handbook of Coronary Stents, Second Edition</i> , 158-171 & 229-234 (1998).
VB	CB	Beyar et al, "Newer Stents: Materials and Designs", <i>LAGS Proceedings</i> , 9(5): 363-371 (June 1997).
VB	CC	Roguin et al, "Acute and 30-Day Results of the Serpentine Balloon Expandable Stent Implantation in Simple and Complex Coronary Arterial Narrowings", <i>The American Journal of Cardiology</i> , 80:1155-1162 (November 1997).
VB	CD	Roguin et al, "beStent-the serpentine balloon expandable stent: review of mechanical properties and clinical experience", <i>Artif Organs</i> , 22(3):243-249 (March 1998).

EXAMINER	YH	DATE CONSIDERED	3/29/05
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
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FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)

FEB 0 1992  
REMARKS

Appl. # 09/957,983. Sheet (2/8)  
ATTY DOCKET NO.: S63.2-6769  
APPLICANT: Brian J. Brown et al.  
FILING DATE: November 20, 1998  
SERIAL NO.: 09/957,983  
FEB - 5 2002  
GROUP: 3731  
JC 3700 MAIL ROOM

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS			CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
EXAMINER'S INIT.	DOCUMENT NUMBER	DATE	NAME				
AA							
AB							
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AG							
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AI							
AJ							
AK							
AL							

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
BA	96/26689	9/6/96	WO			
BB	98/20810	5/22/98	WO			
BC	297 16 476 U1	2/5/98	DE			
BD	0 876 806 A1	11/11/98	EP			
BE						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CA			
CB			
CC			

EXAMINER *Upham*

DATE CONSIDERED

3/29/05

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Appl. # 887 sheet (3/8)  
09/957,983

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY DOCKET NO: S63.2-5605	Serial No. 08/111,876
	APPLICANT: Brian J. Brown, Michael L. Davis	RECEIVED 5/29/95
	FILING DATE: August 3, 1995	Group: 3508 5/29/95

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILED DATE IF APPROVED
AA	4,552,545	11/19/1985	Mass et al.			
AB	4,733,664	03/29/1988	Palma			
AC	4,739,762	04/16/1988	Palma			
AD	4,776,337	10/11/1988	Palma			
AE	4,830,003	05/16/1989	Wulff et al.			
AF	4,856,516	08/15/1989	Hillstead			
AG	4,836,062	12/12/1989	Wiktor			
AH	4,913,141	04/03/1990	Hillstead			
AI	4,994,071	02/19/1991	MacGregor			
AJ	5,019,090	05/28/1991	Pinchuk			
AK	5,035,706	07/30/1991	Gianurello et al.			

FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
						YES NO
BA	0 606 165 A1	07/13/1994	EPO			X
BB	0 540 290 A2	05/05/1993	EPO			X
BC	0 364 787 B1	04/25/1990	EPO			X
BD	WO 94/17754	03/18/1994	PCT			X
BE	0 541 443 A1	05/12/1993	EPO			X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CA	Manufacturing Processes for Engineering Materials, by Serepe Kalpatkian, Illinois Institute of Technology, Addison-Wesley Publishing Company, pp. 340.				
CB	A View of Vascular Stents, by Richard A. Schatz, MD, From the Arizona Heart Institute Foundation, Phoenix, Arizona, CIRCULATION, Vol. 79, No. 2, February 1989, pp. 445-457.				
CC	The Self-Expanding Mesh Stent, by Ulrich Sigwart, SECTION IV, Chapter 29, pp. 605-610.				

EXAMINER

DATE CONSIDERED

3/29/95

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet  
Appl. # 09/957,983 (4/8)

FORM PTO-149 (Modified)			ATTY DOCKET NO: 6612-3605	Serial No: 08544100		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE <i>SEARCHED</i>			APPLICANT: Brian J. Brown, Michael L. Davis	Group: 330S		
(Use several sheets if necessary)			FLING DATE: August 3, 1995	FEB 5 2002		
REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FLING DATE IF APPROVED
VB	5,059,211	10/23/1991	Swick et al.			
LB	5,102,217	04/07/1992	Palmarz			
AC	5,104,404	04/14/1992	Wolff			
AD	5,133,702	07/23/1992	Wicker			
AE	5,135,536	06/04/1992	Hillstrand			
AF	5,195,934	03/23/1993	Schutz			
AC	5,197,973	03/30/1993	Itess			
AT	5,282,823	03/01/1994	Schwarz et al.			
AI	5,292,331	03/05/1994	Boncua			
AJ	5,304,200	04/19/1994	Spaulding			
VB	5,449,373	09/13/1995	Poncharal et al.			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
VB	6-4175	03/06/1994	Japan			
AN						
AN						
AO						
AT						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
AR		Infringement Search on Articulated Expandable Steers, Dated July 17, 1995 Not found.				
VS	AS	ENGINEERING FLUID MECHANICS, Third Edition, John A. Robertson and Clayton T. Crowe, pp. 5-2 and pp 414-421				
VB	AT	Cambridge Dictionary of Science and Technology, Cambridge University Press, 128				
EXAMINER	<i>VB</i>		DATE CONSIDERED	3/29/05		
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SERIAL NO: 09497,278 VB

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GROUP: 3738

FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)

ATTY DOCKET NO.: S632-6769

APPLICANT: Brian J. Brown et al.

FILING DATE: November 20, 1998

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REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS				
EXAMINER'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
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	AH						
	AI						
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	AK						
	AL						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	BA	298 16 878 U1	12/24/98	DE			YES NO (Abstract)
	BB						
	BC						
	BD						
	BE						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	CA						
	CB						
	CC						
EXAMINER	J. W. M.		DATE CONSIDERED				

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Sheet (6/8)  
09/957,983

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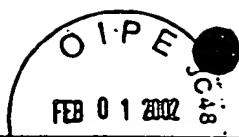
FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		ATTY DOCKET NO: S63.2-S605	Serial No: 08/511,076
		APPLICANT: Brian J. Brown, Michael L. Davis	RECEIVED
		FILING DATE: August 3, 1995	FEB - 5 1996 Reg#up: 3308
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)			
VB	CA	<i>Improved Dilation Catheter Balloons</i> , by Stanley B. Levy, Ph.D., <u>JOURNAL OF CLINICAL ENGINEERING</u> , Vol. 11, No. 4, July-August 1986, pp. 291-296.	
	CB	<i>Self-expanding Stainless Steel Biliary Stents</i> , By Harold G. Coons, MD, <u>RADIOLOGY</u> 1989, Vol. 170, No. 3, Part 2, pp. 979-983.	
	CC	Technical Note Entitled <i>Modifications of Gianturco Expandable Wire Stents</i> , By Barry T. Uchida et al., <u>AJR</u> , Vol. 150, May 1988, pp. 1185-1187.	
	CD	Brochure from Cook Incorporated regarding Gianturco-Rosch Biliary Z-Stents*.	
	CE	<i>Expandable Biliary Endoprosthesis: An Experimental Study</i> , By Carrasco et al., <u>AJR</u> , Vol. 145, December 1985, pp. 1279-1283.	
	CF	<i>Gianturco Expandable Metallic Biliary Stents: Results of a European Clinical Trial</i> <sup>1</sup> , By Irving, et al., <u>Interventional Radiology</u> , Vol. 172, No. 2, August 1989, pp. 321-326.	
	CG	<i>Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications</i> <sup>1</sup> , Work In Progress, By Wallace et al., <u>RADIOLOGY</u> , February 1986, pp. 309-312.	
VB	CH	Brochure Entitled <i>AVE MICRO STENT</i> <sup>1</sup> , Instructions for Use, By Applied Vascular Engineering, Inc., pp. 1-15.	
VB	CI	Brochure Entitled <i>MICRO STENT</i> <sup>1</sup> , By Applied Vascular Engineering, Inc.	
	CJ		
	CK		
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	CM		
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EXAMINER <u>YB</u>		DATE CONSIDERED	3/29/05
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FEB 01 2002

Sheet (7/8)

# 09/957,983

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY DOCKET NO.: S63.2-6769.C-1449 APPLICANT: Brian J. Brown et al. FILING DATE: November 20, 1998	APPLICATION NO.: 09/197,278 V3 FEB -6 2002 GROUP: 3731		
REFERENCE DESIGNATION			U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAMINER'S INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
AB	AA	6,068,656	3/30/00	Von Oepen	623/1:17	6/23/00
AB	AB	6,129,733	10/10/00	Mathis et al.	623/1:15	6/23/00
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
VB	CA		SMART <sup>TM</sup> <i>Signs</i> Brochure, Cordis, a Johnson & Johnson company, date unknown.			
	CB					
	CC					
EXAMINER <i>P. H. Lee</i>			DATE CONSIDERED		3/29/05	
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Sheet (8/8)

App. # 09/957,983 B

FORM PTO-1449 (Revised) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)			ATTY. DOCKET NO: S63.2-6769			Application No. KA 103-6 2002 Group: 1100A	
			APPLICANT: Brian J. Brown et al.				
			FILING DATE: November 20, 1998				
<b>REFERENCE DESIGNATION</b>							
<b>FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION</b>							
EXAMINER'S INITIALS		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
							YES
JK	BF	97/04721	2/13/97	WO			
	BG	97/14375	2/24/97	WO			
	BH	97/25937	7/24/97	WO			
	BI	97/32543	9/12/97	WO			
	BJ	97/32544	9/12/97	WO			
	BK	97/33534	9/18/97	WO			
	BL	97/40780	11/6/97	WO			
	BM	97/40781	11/6/97	WO			
	BN	97/40782	11/6/97	WO			
JK	BO	97/40783	11/6/97	WO			
JK	BP	97/40874	11/6/97	WO			
	BQ						
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	BZ						
EXAMINER: <u>JK</u>			DATE CONSIDERED			<u>2/29/05</u>	

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